



## IAPD Report

**RYAN HOPPIE**

CRD# 7729277

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Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### RYAN HOPPIE (CRD# 7729277)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/27/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	08/08/2024
IA	STRATEGIC ADVISERS LLC	CRD# 104555	03/31/2025

### QUALIFICATIONS

This representative is currently registered in **2** SRO(s) and **40** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FIDELITY PERSONAL AND WORKPLACE ADVISORS	288590	KNOXVILLE, TN	08/14/2024 - 03/31/2025
IA	VANGUARD ADVISERS, INC.	106715	Plano, TX	08/21/2023 - 08/05/2024
B	VANGUARD MARKETING CORPORATION	7452	Plano, TX	07/24/2023 - 08/05/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **40** jurisdiction(s) and 2 SRO(s) through his or her employer(s).

### Employment 1 of 2

Firm Name: **STRATEGIC ADVISERS LLC**  
Main Address: 155 SEAPORT BLVD  
BOSTON, MA 02210-2698  
Firm ID#: 104555

	Regulator	Registration	Status	Date
IA	Tennessee	Investment Adviser Representative	Approved	03/31/2025
IA	Texas	Investment Adviser Representative	Approved	03/31/2025

### Branch Office Locations

**STRATEGIC ADVISERS LLC**  
POWELL, TN

**STRATEGIC ADVISERS LLC**  
11513 PARKSIDE DRIVE  
KNOXVILLE, TN 37934-1976

### Employment 2 of 2

Firm Name: **FIDELITY BROKERAGE SERVICES LLC**  
Main Address: 900 SALEM STREET  
SMITHFIELD, RI 02917  
Firm ID#: 7784

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	08/08/2024
B	New York Stock Exchange	General Securities Representative	Approved	08/08/2024
B	Alabama	Agent	Approved	08/12/2024
B	Arizona	Agent	Approved	08/12/2024
B	Arkansas	Agent	Approved	08/12/2024



## Qualifications

	Regulator	Registration	Status	Date
B	California	Agent	Approved	08/12/2024
B	Colorado	Agent	Approved	08/12/2024
B	Connecticut	Agent	Approved	08/12/2024
B	Florida	Agent	Approved	08/12/2024
B	Georgia	Agent	Approved	08/12/2024
B	Illinois	Agent	Approved	08/12/2024
B	Indiana	Agent	Approved	08/12/2024
B	Kansas	Agent	Approved	08/12/2024
B	Kentucky	Agent	Approved	08/12/2024
B	Louisiana	Agent	Approved	08/12/2024
B	Maine	Agent	Approved	08/12/2024
B	Maryland	Agent	Approved	08/12/2024
B	Massachusetts	Agent	Approved	08/12/2024
B	Michigan	Agent	Approved	08/12/2024
B	Minnesota	Agent	Approved	08/12/2024
B	Mississippi	Agent	Approved	08/12/2024
B	Missouri	Agent	Approved	08/12/2024
B	Nebraska	Agent	Approved	08/12/2024
B	Nevada	Agent	Approved	08/12/2024



## Qualifications

	Regulator	Registration	Status	Date
B	New Hampshire	Agent	Approved	08/12/2024
B	New Jersey	Agent	Approved	08/12/2024
B	New Mexico	Agent	Approved	08/12/2024
B	New York	Agent	Approved	08/12/2024
B	North Carolina	Agent	Approved	08/12/2024
B	Ohio	Agent	Approved	08/13/2024
B	Oklahoma	Agent	Approved	08/12/2024
B	Oregon	Agent	Approved	08/12/2024
B	Pennsylvania	Agent	Approved	08/12/2024
B	Rhode Island	Agent	Approved	08/12/2024
B	South Carolina	Agent	Approved	08/12/2024
B	Tennessee	Agent	Approved	08/08/2024
B	Texas	Agent	Approved	08/08/2024
B	Utah	Agent	Approved	08/12/2024
B	Vermont	Agent	Approved	08/12/2024
B	Virginia	Agent	Approved	08/12/2024
B	Washington	Agent	Approved	08/12/2024
B	Wisconsin	Agent	Approved	08/12/2024



## Qualifications

### Branch Office Locations

**FIDELITY BROKERAGE SERVICES, LLC**  
11513 PARKSIDE DRIVE  
KNOXVILLE, TN 37934

**FIDELITY BROKERAGE SERVICES, LLC**  
POWELL, TN



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> General Securities Representative Examination (S7TO)	Series 7TO	07/24/2023
<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	03/25/2023

#### State Securities Law Exams

Exam	Category	Date
<b>IA</b> <b>B</b> Uniform Combined State Law Examination (S66)	Series 66	08/17/2023



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.





## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/14/2024 - 03/31/2025	FIDELITY PERSONAL AND WORKPLACE ADVISORS	CRD# 288590	KNOXVILLE, TN
IA	08/21/2023 - 08/05/2024	VANGUARD ADVISERS, INC.	CRD# 106715	Plano, TX
B	07/24/2023 - 08/05/2024	VANGUARD MARKETING CORPORATION	CRD# 7452	Plano, TX

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2025 - Present	Fidelity Investments	Mass Transfer	Y	BOSTON, MA, United States
07/2024 - Present	FIDELITY INVESTMENTS	PLANNING CONSULTANT	Y	KNOXVILLE, TN, United States
06/2023 - 07/2024	THE VANGUARD GROUP, INC.	REGISTERED PERSON	Y	PLANO, TX, United States
01/2022 - 08/2023	UTAH VALLEY UNIVERSITY	STUDENT	N	OREM, UT, United States
06/2021 - 06/2022	SOLTIS INVESTMENT ADVISORS	CLIENT RELATIONSHIP MANAGER	Y	SAINT GEORGE, UT, United States
04/2020 - 01/2022	DIXIE STATE UNIVERSITY	DUAL INTERNSHIP: DATA ENTRY SPECIALIST AND FINANCE SPECIALIST	N	SAINT GEORGE, UT, United States
08/2019 - 12/2021	DIXIE STATE UNIVERSITY	STUDENT	N	SAINT GEORGE, UT, United States
05/2021 - 06/2021	PRESSURE PERFECT POWER WASHING, LLC	OWNER	N	SAINT GEORGE, UT, United States
01/2021 - 05/2021	AUDIOHOOK	REMOTE CHIEF OF STAFF	N	SAINT GEORGE, UT, United States
12/2020 - 01/2021	HEALTHTREE	REMOTE DATA ENTRY SPECIALIST	N	LEHI, UT, United States
09/2019 - 08/2020	ON TARGET PEST CONTROL	PEST CONTROL TECHNICIAN	N	SAINT GEORGE, UT, United States



## Registration & Employment History



### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2019 - 11/2019	HARMONS GROCERY	DAIRY STOCKER	N	SAINT GEORGE, UT, United States
09/2017 - 08/2019	THE CHURCH OF JESUS CHRIST OF LATTER-DAY SAINTS	SERVICE MISSIONARY	N	EDMONTON, Canada
05/2017 - 09/2017	UNEMPLOYED	UNEMPLOYED	N	SAINT GEORGE, UT, United States
11/2014 - 05/2017	LARSENS FROSTOP	CASHIER AND COOK	N	SAINT GEORGE, UT, United States
08/2014 - 05/2017	DESERT HILLS HIGH SCHOOL	STUDENT	N	SAINT GEORGE, UT, United States



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



## End of Report

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