IAPD Report

Louis Gilmore

CRD# 7915798

Section Title	Page(s)
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Louis Gilmore (CRD# 7915798)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/05/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	09/12/2025
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	05/05/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and 1 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES

No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

©2026 FINRA. All rights reserved. Report about Louis Gilmore.

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **1** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**

Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245

Firm ID#: 13572

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	09/12/2025
B	Nebraska	Agent	Approved	05/05/2026

Branch Office Locations

CETERA ADVISOR NETWORKS LLC
9900 NICHOLAS ST STE 360
OMAHA, NE 68114

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**

Main Address: 1450 AMERICAN LANE
6TH FLOOR, SUITE 650
SCHAUMBURG, IL 60173-2096

Firm ID#: 105644

	Regulator	Registration	Status	Date
IA	Nebraska	Investment Adviser Representative	Approved	05/05/2026

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC
9900 NICHOLAS ST STE 360
OMAHA, NE 68114

©2026 FINRA. All rights reserved. Report about Louis Gilmore.

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7TO)	Series 7TO	09/12/2025
B Securities Industry Essentials Examination (SIE)	SIE	06/20/2024

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	04/22/2026

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

©2026 FINRA. All rights reserved. Report about Louis Gilmore.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2026 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
08/2025 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States
07/2025 - Present	CFG ADVISORS	ADMINISTRATIVE ASSISTANT	Y	OMAHA, NE, United States
07/2025 - Present	RALSTON PUBLIC SCHOOLS	ASSISTANT COACH	N	OMAHA BRM, NE, United States
05/2024 - 08/2025	CETERA WEALTH SERVICES, LLC	NON-REGISTERED ASSOCIATED PERSON	Y	EL SEGUNDO, CA, United States
11/2024 - 02/2025	Gross Catholic High School	Coach	N	Omaha, NE, United States
05/2022 - 05/2024	Menard's	Forklift Operator	N	Omaha, NE, United States
08/2021 - 05/2022	N/A	Unemployed - Student	N	Ralston, NE, United States
05/2019 - 08/2021	Papio Pool	Lifeguard	N	Omaha, NE, United States
08/2015 - 05/2019	N/A	Unemployed - Student	N	Ralston, NE, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. NAME OF OTHER BUSINESS: RALSTON PUBLIC SCHOOLS
INVESTMENT RELATED: NO
ADDRESS: 8545 PARK DR, OMAHA BRM, NE 68127
NATURE OF BUSINESS: SCHOOL

©2026 FINRA. All rights reserved. Report about Louis Gilmore.

Registration & Employment History

OTHER BUSINESS ACTIVITIES

START DATE: 7/2025
POSITION/TITLE/RELATIONSHIP: ASSISTANT COACH
APX NUMBER OF HOURS PER WEEK: 20
APX NUMBER OF HOURS DURING TRADING HOURS: 0
BRIEF DESCRIPTION OF DUTIES: ASSIST HEAD COACH WITH PRACTICE AND COMPETITION;

2. NAME OF OTHER BUSINESS: CFG ADVISORS
INVESTMENT RELATED: YES
ADDRESS: SAME AS REGISTERED LOCATION
NATURE OF BUSINESS: FINANCIAL SERVICES
START DATE: 7/2025
POSITION/TITLE/RELATIONSHIP: ADMINISTRATIVE ASSISTANT
APX NUMBER OF HOURS PER WEEK: 40
APX NUMBER OF HOURS DURING TRADING HOURS: 33
BRIEF DESCRIPTION OF DUTIES: ASSIST WITH ADMINISTRATIVE ACTIVITIES;

3. NAME OF OTHER BUSINESS: RALSTON SCHOOLS FOUNDATION;
INVESTMENT RELATED: NO;
ADDRESS: 8545 PARK DR, RALSTON, NE 68127;
NATURE OF BUSINESS: BOARD;
START DATE: 12/2025;
POSITION/TITLE/RELATIONSHIP: BOARD MEMBER;
APX NUMBER OF HOURS PER WEEK: 8;
APX NUMBER OF HOURS DURING TRADING HOURS: 0;
BRIEF DESCRIPTION OF DUTIES: ASSIST IN ORGANIZATION AND FUNDRAISING FOR VARIOUS PROGRAMS THAT DIRECTLY BENEFIT STUDENTS;

4. NAME OF OTHER BUSINESS: THETA CHI SCHOLARSHIP BOARD;
INVESTMENT RELATED: NO;
ADDRESS: 2800 N 50TH ST, LINCOLN, NE 68504;
NATURE OF BUSINESS: BOARD;
START DATE: 12/2025;
POSITION/TITLE/RELATIONSHIP: SECRETARY;
APX NUMBER OF HOURS PER WEEK: 2;
APX NUMBER OF HOURS DURING TRADING HOURS: 0;
BRIEF DESCRIPTION OF DUTIES: PREPARE MEETING AGENDAS, TAKE AND PUBLISH MEETING MINUTES, PREPARE ALUMNI NEWSLETTER;

©2026 FINRA. All rights reserved. Report about Louis Gilmore.

End of Report

This page is intentionally left blank.

©2026 FINRA. All rights reserved. Report about Louis Gilmore.