

# **IAPD Report**

# **GIOVANNI NICOLA ARMOCIDA**

CRD# 7949043

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When communicating online or investing with any professional, make sure you know who you're dealing with. <a href="mailto:link-to-sites">link-to-sites</a> like BrokerCheck from <a href="mailto:phishing">phishing</a> or similar scam websites, or through <a href="mailto:social media">social media</a>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.



### **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

#### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

#### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

#### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

#### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <a href="http://www.nasaa.org/IAPD/IARReports.cfm">http://www.nasaa.org/IAPD/IARReports.cfm</a>

#### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

#### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <a href="http://www.nasaa.org">http://www.nasaa.org</a>



## **Report Summary**

# **☑** GIOVANNI NICOLA ARMOCIDA (CRD# 7949043)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/14/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
В	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	12/06/2024
IA	STRATEGIC ADVISERS LLC	CRD# 104555	03/31/2025

### QUALIFICATIONS

This representative is currently registered in 2 SRO(s) and 22 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? No

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

# REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
FIDELITY PERSONAL AND WORKPLACE	288590	ORLAND PARK, IL	02/04/2025 - 03/31/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

#### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



#### Qualifications

# REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **22** jurisdiction(s) and 2 SRO(s) through his or her employer(s).

### **Employment 1 of 2**

Firm Name: STRATEGIC ADVISERS LLC

Main Address: 155 SEAPORT BLVD

BOSTON, MA 02210-2698

Firm ID#: 104555

	Regulator Registration		Status	Date
IA	Illinois	Investment Adviser Representative	Approved	03/31/2025

#### **Branch Office Locations**

**STRATEGIC ADVISERS LLC** SOUTH BARRINGTON, IL

STRATEGIC ADVISERS LLC 15105 S. LaGRANGE ROAD ORLAND PARK, IL 60462-3708

#### **Employment 2 of 2**

Firm Name: FIDELITY BROKERAGE SERVICES LLC

Main Address: 900 SALEM STREET

SMITHFIELD, RI 02917

Firm ID#: 7784

	Regulator	Registration	Status	Date
В	FINRA	General Securities Representative	Approved	12/06/2024
В	New York Stock Exchange	General Securities Representative	Approved	12/06/2024
В	Arizona	Agent	Approved	02/04/2025
В	California	Agent	Approved	02/04/2025
В	Colorado	Agent	Approved	02/04/2025
В	Connecticut	Agent	Approved	02/04/2025





	Qualifica	ations	
Regulator	Registration	Status	Date
B Florida	Agent	Approved	02/04/2025
B Georgia	Agent	Approved	02/04/2025
B Illinois	Agent	Approved	01/27/2025
B Indiana	Agent	Approved	02/04/2025
B Maryland	Agent	Approved	02/04/2025
B Massachusetts	Agent	Approved	02/04/2025
B Michigan	Agent	Approved	02/04/2025
B Minnesota	Agent	Approved	02/04/2025
B Missouri	Agent	Approved	02/04/2025
B New Jersey	Agent	Approved	02/04/2025
B New York	Agent	Approved	02/04/2025
B North Carolina	Agent	Approved	02/04/2025
B Ohio	Agent	Approved	02/04/2025
B Pennsylvania	Agent	Approved	02/04/2025
B Texas	Agent	Approved	02/04/2025
B Virginia	Agent	Approved	02/04/2025
B Washington	Agent	Approved	02/04/2025
B Wisconsin	Agent	Approved	02/04/2025

## **Branch Office Locations**





# **Qualifications**

**FIDELITY BROKERAGE SERVICES, LLC** 15105 S. LAGRANGE ROAD ORLAND PARK, IL 60462

FIDELITY BROKERAGE SERVICES, LLC SOUTH BARRINGTON, IL



#### Qualifications

#### **②**

#### **PASSED INDUSTRY EXAMS**

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

#### **Principal/Supervisory Exams**

Exam	Category	Date
No information reported.		

## **General Industry/Product Exams**

	Exam	Category	Date
В	General Securities Representative Examination (S7TO)	Series 7TO	12/06/2024
В	Securities Industry Essentials Examination (SIE)	SIE	08/09/2024

#### **State Securities Law Exams**

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	01/27/2025

## PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



# **Registration & Employment History**

## PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/04/2025 - 03/31/2025	FIDELITY PERSONAL AND WORKPLACE ADVISORS	CRD# 288590	ORLAND PARK, IL

# **EMPLOYMENT HISTORY**

Below is the representative's employment history for up to the last 10 years.

Franksimsent Datas	Fundamen Name	Desition		
<b>Employment Dates</b>	Employer Name	Position	Investment Related	Employer Location
03/2025 - Present	Fidelity Investments	Mass Transfer	Υ	BOSTON, MA, United States
06/2024 - Present	FIDELITY INVESTMENTS	FINANCIAL SERVICES REPRESENTATIVE	Υ	ORLAND PARK, IL, United States
09/2023 - 05/2024	EQUITABLE ADVISORS	Intern	Υ	Manhattan, NY, United States
06/2023 - 08/2023	MOWERY & SCHOENFELD	Intern	Υ	Lincolnshire, IL, United States
03/2019 - 05/2023	SDM MANAGMENT	Intern	N	Schaumburg, IL, United States
05/2013 - 05/2023	FULL TIME STUDENT	Student	N	Arlington heights, IL, United States
03/2016 - 09/2020	SAINT VIATOR HS	Student	N	Arlington Heights, IL, United States
01/2014 - 02/2016	STATION MS	Student	N	Barrington, IL, United States

# **OTHER BUSINESS ACTIVITIES**

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.





