



IAPD Report

Sarah Danielle Weinbaum

CRD# 8042951

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Sarah Danielle Weinbaum (CRD# 8042951)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/12/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	FIRST COMMAND BROKERAGE SERVICES, INC.	CRD# 3641	10/15/2025
IA	FIRST COMMAND ADVISORY SERVICES	CRD# 281958	10/20/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FIRST COMMAND ADVISORY SERVICES	281958	Saint Robert, MO	06/16/2025 - 08/13/2025
B	FIRST COMMAND BROKERAGE SERVICES, INC.	3641	St Robert, MO	05/13/2025 - 08/13/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 3 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **FIRST COMMAND BROKERAGE SERVICES, INC.**

Main Address: 1 FIRSTCOMM PLAZA
FORT WORTH, TX 76109

Firm ID#: 3641

Regulator	Registration	Status	Date
B FINRA	Invest. Co and Variable Contracts	Approved	10/15/2025
B Indiana	Agent	Approved	02/16/2026
B Missouri	Agent	Approved	10/20/2025
B Texas	Agent	Approved	10/29/2025

Branch Office Locations

FIRST COMMAND FINANCIAL PLANNING, INC.

718 Missouri Ave
St Robert, MO 65584

Employment 2 of 2

Firm Name: **FIRST COMMAND ADVISORY SERVICES**

Main Address: 1 FIRSTCOMM PLAZA
FORT WORTH, TX 76109

Firm ID#: 281958

Regulator	Registration	Status	Date
IA Missouri	Investment Adviser Representative	Approved	10/20/2025
IA Texas	Investment Adviser Representative	Restricted Approval	10/29/2025

Branch Office Locations



Qualifications

FIRST COMMAND ADVISORY SERVICES

718 Missouri Ave
Saint Robert, MO 65584



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.


Principal/Supervisory Exams


Exam	Category	Date
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No information reported.

General Industry/Product Exams


Exam	Category	Date
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 Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	05/12/2025
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 Securities Industry Essentials Examination (SIE)	SIE	04/12/2025
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State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	06/05/2025
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 Uniform Securities Agent State Law Examination (S63)	Series 63	05/19/2025
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/16/2025 - 08/13/2025	FIRST COMMAND ADVISORY SERVICES	CRD# 281958	Saint Robert, MO
B	05/13/2025 - 08/13/2025	FIRST COMMAND BROKERAGE SERVICES, INC.	CRD# 3641	St Robert, MO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2025 - Present	First Command Advisory Services, Inc.	Investment Advisor Rep	Y	Fort Worth, TX, United States
06/2025 - Present	First Command Brokerage Services, Inc	Registered Rep	Y	Fort Worth, TX, United States
06/2025 - Present	First Command Insurance Services, Inc.	Insurance Agent	Y	Fort Worth, TX, United States
08/2023 - Present	Glitter Girl Games and Amusements	Owner, Vending style business from arcade games, gumball machines, claw machines etc.	N	Rolla, MO, United States
09/2012 - Present	Lavish day spa, formerly Tara day spa, self employed LMT	Self Employed Licensed Massage Therapist	N	Rolla, MO, United States
02/2025 - 06/2025	First Command Brokerage Services, Inc	Advisor Trainee	Y	Fort Worth, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) Name of the other business: Self Employed LMT
 Whether the business is investment-related: No
 Address of the other business: St. James, MO
 Nature of the other business: Other OBA
 Your position, title, or relationship with the other business: Licensed Massage Therapist
 The start date of your relationship: Sep 01, 2012
 The approximate number of hours/month you devote to the other business: 6 to 10
 The number of hours you devote to the other business during securities trading hours: None
 Briefly describe your duties relating to the other business: Self Employed Licensed massage Therapist, currently working 1-3 days



Registration & Employment History



OTHER BUSINESS ACTIVITIES

a month only on weekend days. I do not advertise for this business, as I am trying to retire.

(2) Name of the other business: Glitter Girl Games and Amusements

Whether the business is investment-related: No

Address of the other business: St. James, MO

Nature of the other business: Owner/Sole/Partner

Your position, title, or relationship with the other business: Owner

The start date of your relationship: Aug 01, 2023

The approximate number of hours/month you devote to the other business: 6 to 10

The number of hours you devote to the other business during securities trading hours: None

Briefly describe your duties relating to the other business: Vending style passive income business, I place arcade/gumball/candy machines in other peoples businesses for a split of the profit. Duties are fixing broken games, keeping machines filled (toys, candy, gumballs), and collecting money/giving the business their money. Most locations only need checked every 2-3 months, currently consolidating and downsizing.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Criminal	1
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Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	County
Name of Court:	Cole County Courthouse
Location of Court:	Jefferson City, MO
Docket/Case #:	Could not be found
Charge Date:	12/23/2008
Charge(s) 1 of 2	
Formal Charge(s)/Description:	Possession of a controlled substance
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not Guilty, dropped
Disposition of charge:	Dismissed
Charge(s) 2 of 2	
Formal Charge(s)/Description:	Shoplifting
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	Not Guilty, dropped
Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	12/30/2008



Disposition Date: 12/30/2008

Sentence/Penalty: None, all charges dismissed

Broker Statement

I had a friend who's mother had died tragically when we were both 17, her father was absent so she came to live with my family. She was very troubled and had a substance abuse problem. My stepmother had bought us both \$200 gift cards to Kohl's as a Hanukkah/Christmas gift, so we took my car to go shopping. Unbeknownst to me she decided to also steal some items from the store while she was in the dressing room, I was still out browsing. She was also under the influence of narcotics, had brought along some pills she had bought at school. When we met back up and checked out we were intercepted immediately because she had left empty boxes of perfume in the dressing room. They called the police and found the pills as well, charged me along with her and told me I was guilty by association. A day or two later I went to a lab and got a drug screening to submit to the judge, they dropped all of my charges. They cannot find any records for it at the courthouse, I had to get verification for First Command so I still have that if needed.



End of Report

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