



IAPD Report

RICHARD GEORGE BRYAN JR

CRD# 807467

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

RICHARD GEORGE BRYAN JR (CRD# 807467)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/07/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	BRYAN ADVISORY SERVICES, LLC	CRD# 153659	04/09/2026

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	BRYAN ADVISORY SERVICES, LLC	153659	CANONSBURG, PA	04/02/2013 - 12/31/2025
B	BRYAN FUNDING, INC.	11899	SEWICKLEY, PA	12/05/1985 - 11/01/2019
B	PITTSBURGH FINANCIAL PLANNERS, INC.	14155	MCMURRAY, PA	10/04/1985 - 12/13/1994

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **BRYAN ADVISORY SERVICES, LLC**

Main Address: 125 TECHNOLOGY DRIVE
SUITE 105
CANONSBURG, PA 15317

Firm ID#: 153659

	Regulator	Registration	Status	Date
	Pennsylvania	Investment Adviser Representative	Approved	04/09/2026

Branch Office Locations

BRYAN ADVISORY SERVICES, LLC
125 TECHNOLOGY DRIVE
SUITE 105
CANONSBURG, PA 15317



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	06/18/1985
B	General Securities Principal Examination (S00)	Series 00	04/21/1975

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	05/18/1985

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	09/24/1993



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/02/2013 - 12/31/2025	BRYAN ADVISORY SERVICES, LLC	CRD# 153659	CANONSBURG, PA
B	12/05/1985 - 11/01/2019	BRYAN FUNDING, INC.	CRD# 11899	SEWICKLEY, PA
B	10/04/1985 - 12/13/1994	PITTSBURGH FINANCIAL PLANNERS, INC.	CRD# 14155	MCMURRAY, PA
B	10/17/1985 - 12/31/1987	MANAGED INVESTMENTS, INC.	CRD# 2640	
B	05/02/1975 - 09/23/1981	FIRST JERSEY SECURITIES, INC.	CRD# 6621	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2010 - Present	BRYAN ADVISORY SERVICES, LLC (153659)	MANAGING MEMBER	Y	CANONSBURG, PA, United States
08/2009 - Present	BRYAN ENERGY MANAGEMENT, LLC	PRESIDENT	Y	CANONSBURG, PA, United States
09/2004 - Present	DISCOVERY OIL AND GAS LLC	PRESIDENT	N	CANONSBURG, PA, United States
12/1982 - Present	BRYAN & BRYAN GENERAL PARTNERS	OTHER - PARTNER	N	Canonsburg, PA, United States
05/1975 - Present	BRYMONE MANAGEMENT	PRESIDENT - President	N	Canonsburg, PA, United States
05/1975 - Present	BRYMONE, INC.	PRESIDENT - President	N	Canonsburg, PA, United States
05/1981 - 11/2019	BRYAN FUNDING, INC.	PRESIDENT	Y	Canonsburg, PA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

BRYAN INVESTMENT GROUP, INC.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

125 TECHNOLOGY DR, SUITE 105
CANONSBURG, PA 15317
PRESIDENT
100% OWNERSHIP, 100 HOURS PER MONTH
START DATE: 03/2015
HOLDING COMPANY FOR ALL NON INVESTMENT SUBSIDIARIES, BRYAN ENERGY MANAGEMENT, LLC AND
DISCOVERY OIL AND GAS, LLC.

BRYAN ENERGY MANAGEMENT, LLC
125 TECHNOLOGY DR, SUITE 105
CANONSBURG, PA 15317
PRESIDENT
100% OWNERSHIP, 20 HOURS PER MONTH
START DATE: 8/2009
NON INVESTMENT RELATED LIMITED LIABILITY COMPANY WHICH IS THE MANAGING GENERAL PARTNER
FOR THE BRYAN ENERGY LIMITED PARTNERSHIPS.

DISCOVERY OIL AND GAS, LLC
125 TECHNOLOGY DR, SUITE 105
CANONSBURG, PA 15317
PRESIDENT
90% OWNERSHIP, 80 HOURS PER MONTH
START DATE: 9/2004
NON INVESTMENT RELATED LIMITED LIABILITY COMPANY WHICH IS THE DRILLING COMPANY AND
OPERATOR FOR THE BRYAN ENERGY PARTNERSHIPS OIL AND GAS WELLS.

BRYAN & BRYAN, GENERAL PARTNERS
125 TECHNOLOGY DR, SUITE 105
CANONSBURG, PA 15317
CO-PRESIDENT
50% OWNERSHIP, 1 HOUR PER MONTH
START DATE: 5/1981
NON INVESTMENT RELATED COMPANY MANAGING GENERAL PARTNER FOR BRYAN LIMITED
PARTNERSHIPS FROM 1981 THRU 2008.

BRYMONE MANAGEMENT, INC
125 TECHNOLOGY DR, SUITE 105
CANONSBURG, PA 15317
NON COMPENSATED MANAGING PRESIDENT
100% OWNERSHIP BY VIRGINIA BRYAN, 1 HOUR PER MONTH
START DATE: 1971
NON INVESTMENT RELATED COMPANY WHICH IS THE MANAGING GENERAL PARTNER FOR
BRYMONE WELLS DRILLED FROM 1971 THRU 1979.

RIDGEWOOD HEIGHTS DEVELOPMENT, LLC
30 RIDGEWOOD DR
MCDONALD, PA 15057-4444
MANAGING PARTNER
33% OWNERSHIP, 8 HOURS PER MONTH
START DATE: 1989



Registration & Employment History



OTHER BUSINESS ACTIVITIES

NON INVESTMENT RELATED COMPANY FOCUSING ON LAND DEVELOPMENT.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source: Regulator
Regulatory Action Initiated By: NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 11/19/1998

Docket/Case Number: C9A980042

Employing firm when activity occurred which led to the regulatory action: BRYAN FUNDING, INC.

Product Type:

Other Product Type(s):

Allegations:

Current Status: Final

Resolution: Decision & Order of Offer of Settlement

Resolution Date: 02/23/1999

Sanctions Ordered: Censure
Monetary/Fine \$1,000.00

Other Sanctions Ordered:

Sanction Details:

Regulator Statement LTR: 03/08/99, TO BD# 11899, CODES: B,O,P,Q,T
COMPLAINT NO. C9A980042 FILED NOVEMBER 19, 1998 BY DISTRICT



NO. 9 AGAINST RESPONDENTS BRYAN FUNDING, INC. AND RICHARD G. BRYAN, JR. ALLEGING VIOLATIONS OF NASD RULES 1120(b) AND 2110 IN THAT RESPONDENT MEMBER, ACTING THROUGH RESPONDENT BRYAN, FAILED TO COMPLY WITH THE FIRM ELEMENT OF THE CONTINUING EDUCATION PROGRAM IN THAT IT DID NOT: ANNUALLY EVALUATE AND PRIORITIZE ITS TRAINING NEEDS; DEVELOP A WRITTEN TRAINING PLAN; AND, MAINTAIN RECORDS DOCUMENTING THE CONTENT OF ITS PROGRAMS AND THE COMPLETION OF THE PROGRAMS BY COVERED REGISTERED PERSONS.

3/8/99GS: ON FEBRUARY 23, 1999, THE DECISION AND ORDER OF ACCEPTANCE OF OFFER OF SETTLEMENT SUBMITTED BY RESPONDENTS MEMBER AND BRYAN WAS ISSUED; THEREFORE, THEY ARE CENSURED AND FINED \$1,000, JOINTLY AND SEVERALLY.

*****1,000.00 PAID J&S ON 4/2/99- INVOICE NO. 99-9A-249*****

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Reporting Source:	Individual
Regulatory Action Initiated By:	NASD
Sanction(s) Sought:	
Other Sanction(s) Sought:	
Date Initiated:	11/19/1998
Docket/Case Number:	C9A980042
Employing firm when activity occurred which led to the regulatory action:	BRYAN FUNDING, INC.
Product Type:	
Other Product Type(s):	
Allegations:	FAILED TO A.) EVALUATE TRAINING NEEDS, B.) DEVELOP A WRITTEN TRAINING PLAN, C.) MAINTAIN A RECORD DOCUMENTING THE CONTENTS OF ITS` PROGRAM AND THE COMPLETION OF THE PROGRAMS BY COVERED AGENTS.
Current Status:	Final
Resolution:	Decision & Order of Offer of Settlement
Resolution Date:	02/23/1999
Sanctions Ordered:	Censure Monetary/Fine \$1,000.00
Other Sanctions Ordered:	
Sanction Details:	CENSURE AND A FINE OF \$1,000
Broker Statement	Not Provided



Reporting Source: Regulator
Regulatory Action Initiated By: SECURITIES AND EXCHANGE COMMISSION

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 09/04/1987

Docket/Case Number:

Employing firm when activity occurred which led to the regulatory action: BRYAN FUNDING, INC.

Product Type:

Other Product Type(s):

Allegations:

Current Status: Final

Resolution: Consent

Resolution Date: 01/07/1988

Sanctions Ordered: Censure
Suspension

Other Sanctions Ordered:

Sanction Details:

Regulator Statement ***** SEE NEXT RECORD FOR DETAILS OF *****
***** SEC CENSURE AND 90 DAY SUSPENSION. *****

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Reporting Source: Individual
Regulatory Action Initiated By: SECURITIES AND EXCHANGE COMMISSION

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 09/04/1987

Docket/Case Number:

Employing firm when activity occurred which led to the regulatory action: BRYAN FUNDING, INC.

Product Type:

Other Product Type(s):

Allegations: IN SELLING LIMITED PARTNERSHIP INTERESTS
BRYAN FUNDING AND BRYAN FAILED TO DISCLOSE THE MINIMUM AMOUNT
WAS NOT SOLD BY THE CLOSING DATE AND FAILED TO PROMPTLY
REFUND
THE SUBSRIPTIONS, WAS NOT A MEMBER OF THE NASD, FAILED TO
DEPOSIT FUNDS FROM INVESTORS IN A SEPARATE BANK ESCROW



ACCOUNT
AND ACCEPTED ADDITIONAL FUNDS FROM INVESTORS AFTER THE
CLOSING
DATE DURING THE PERIOD FROM APPROXIMATELY OCTOBER, 1982 TO
JANUARY, 1985.

Current Status: Final

Resolution: Consent

Resolution Date: 01/07/1988

Sanctions Ordered: Censure
Suspension

Other Sanctions Ordered:

Sanction Details: BRYAN FUNDING, INC. AND RICK BRYAN WERE CENSORED
AND BRYAN WAS SUSPENDED FROM ASSOCIATION WITH ANY BROKER OR
DEALER FOR NINETY DAYS COMMENCING JANUARY 8, 1988.

Broker Statement THE REGISTRATION PROBLEM WAS RELATED TO THE SEC'S
ELIMINATION OF THE SECO PROGRAM AND MISTAKEN ADVICE FROM
LEGAL
COUNSEL. THE OTHER ALLEGATIONS INVOLVED PART-OR-NONE
OFFERINGS
AND INTERNAL PROCEDURES ARE NOW IN PLACE TO ENSURE
COMPLAINEE
WITH THESE REQUIREMENTS. BRYAN FUNDING HAS BEEN A MEMBER OF
THE
NASD SINCE 1985 AND THERE HAS BEEN NO ADDITIONAL DISCIPLINARY
PROCEEDING.



End of Report

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