



## IAPD Report

# LINWOOD FORREST HAMILTON

CRD# 823161

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### LINWOOD FORREST HAMILTON (CRD# 823161)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/08/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	THE O.N. EQUITY SALES COMPANY	CRD# 2936	02/18/2014
<b>IA</b>	ON INVESTMENT MANAGEMENT CO	CRD# 105662	02/19/2014

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **5** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	NEW ENGLAND SECURITIES CORPORATION	615	PITTSBURGH, PA	08/13/2002 - 02/24/2014
<b>B</b>	NEW ENGLAND SECURITIES	615	PITTSBURGH, PA	06/10/1976 - 02/24/2014

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 5 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **ON INVESTMENT MANAGEMENT CO**  
Main Address: ONE FINANCIAL WAY  
CINCINNATI, OH 45242  
Firm ID#: 105662

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	03/12/2014
IA	Maryland	Investment Adviser Representative	Approved	06/03/2014
IA	Ohio	Investment Adviser Representative	Approved	06/17/2020
IA	Virginia	Investment Adviser Representative	Approved	03/05/2014
IA	West Virginia	Investment Adviser Representative	Approved	02/28/2014

#### Branch Office Locations

**ON INVESTMENT MANAGEMENT CO**  
1210 Kanawha Boulevard East  
Charleston, WV 25301

#### Employment 2 of 2

Firm Name: **THE O.N. EQUITY SALES COMPANY**  
Main Address: ONE FINANCIAL WAY  
CINCINNATI, OH 45242  
Firm ID#: 2936

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	02/18/2014
B	Florida	Agent	Approved	02/18/2014



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Maryland	Agent	Approved	02/18/2014
<b>B</b> Ohio	Agent	Approved	07/13/2016
<b>B</b> Virginia	Agent	Approved	02/18/2014
<b>B</b> West Virginia	Agent	Approved	02/18/2014

### Branch Office Locations

1210 Kanawha Boulevard East  
CHARLESTON, WV 25301



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**



#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.



#### General Industry/Product Exams

Exam	Category	Date
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 Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Registered Representative Examination (S1)	Series 1	05/15/1976

#### State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	04/22/1999
 Uniform Securities Agent State Law Examination (S63)	Series 63	06/18/1992

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/13/2002 - 02/24/2014	NEW ENGLAND SECURITIES CORPORATION	CRD# 615	PITTSBURGH, PA
B	06/10/1976 - 02/24/2014	NEW ENGLAND SECURITIES	CRD# 615	PITTSBURGH, PA

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2014 - Present	THE O. N. EQUITY SALES COMPANY	REGISTERED REP	Y	CINCINNATI, OH, United States
10/2013 - Present	OHIO NATIONAL FINANCIAL SERVICES	CAREER AGENT	N	CINCINNATI, OH, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) LINWOOD HAMILTON; NOT INVESTMENT RELATED; 403 Pennsylvania Ave CHARLESTON WV 25302; INDEPENDENT INSURANCE; AGENT; START DATE 04/15/1974; HOURS/MONTH 6; HOURS/TRADING 6; SELLING DISABILITY, FIXED ANNUITIES, LIFE/ACCIDENT/HEALTH;
- 2) HAMILTON FARMS LLC; NOT INVESTMENT RELATED; 90 STABLE RIDGE ROAD CHARLESTON WV 25312; HORSE FARM; OWNER; START DATE 02/1994; HOURS/MONTH 60; HOURS/TRADING 0; GROUND MAINTAINANCE, FARM DUTIES;
- 3) INNOVATION MARKETING LLC; NOT INVESTMENT RELATED; 90 STABLE RIDGE ROAD CHARLESTON WV 75312; OWNER; 10/08/2015-PRESENT; THINK OF SOLUTIONS TO PROBLEMS. THEN PATENT THEM WITH HOPE OF LICENSING TO PROPERTY; 5 HOURS/MONTH (1 DURING MARKET HOUR).
- 4) Proteon Corporation; No investment related; 706 Third Avenue; Montgomery, WV 25136; prototype invention; Secretary; 03-30-2023-present; 3 hours/month ( 0 during securities hours; take minutes at meetings.
- 5) 1210 Blvd. LLC; not investment related; 1210 Kanawha Blvd E, Charleston, WV 25301; Commercial Real Estate; 1/3 owner; 01/24/2023-present; 0 hours/month 0 during securities trading hours. No duties, 1/3 owner- other two owner do work.
- 6) Ranger Scientific LLC; not investment related; 550 5th Avenue, Montgomery, WV 25136; ammunitions manufacturing; secretary; 09-05-2023-present; 2 hours/month (1 hour during securities trading hours). Sit on the board and add ideas about how to guide the business.
- 7) 2018 BLVD LLC; NOT INVESTMENT RELATED; 1210 KANAWHA BLVD E CHARLESTON WV 25301; COMMERCIAL REAL ESTATE; POSITION - PARTNER; START DATE - 4/11/2024 TO PRESENT; HOURS/MONTH - 0/0; DUTIES - PART OWNER.
- 8) Nanostarscientific, LLC; Not Investment Related; 2312 Hamlet Drive Greenville SC 29615; Ammunition Manufacturer; Position - Financial Consultant; Start Date - 12/05/2024 to present; Hours/Month - 2/0; Duties - Provide guidance for Mfg.
- 9) Drive Line Service; not investment related; 3100 Pennsylvania Ave, Charleston, WV, 25302; Auto Repair Shop; Managing Member; 05/01/2026-Present; 2 Hrs/month; 1 Hr/month during securities trading hrs; Books and records review.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1

### Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Court Details:</b>	U.S DISTRICT COURT, SOUTHERN DISTRICT OF WEST VIRGINIA-CHARLESTON. DOCKET # 77-2007-01.
<b>Charge Date:</b>	01/27/1977
<b>Charge Details:</b>	DEFENDANT, LINWOOD HAMILTON, CONVICTED AS CHARGED DUE TO A PLEA OF GUILTY, OF THE OFFENSE(S) OF VIOLATION OF TITLE 21, U.S. CODE, SECTION 841 (A)(1), POSSESSION WITH INTENT TO DISTRIBUTE MARIJUANA.
<b>Felony?</b>	Yes
<b>Current Status:</b>	Final
<b>Status Date:</b>	03/09/1977
<b>Disposition Details:</b>	DEFENDANT WAS CONVICTED DUE TO "GUILTY" PLEA AND PLACED ON PROBATION FOR THREE YEARS IN ACCORDANCE WITH THE GENERAL PROVISION OF PROBATION FORM NO. 7 AS TO COUNT ONE AND TWO TO RUN CONCURRENTLY.
<b>Broker Statement</b>	LINWOOD HAMILTON WAS ARRESTED FOR POSSESSION OF A CONTROLLED SUBSTANCE. HE PLED GUILTY AND WAS PLACED ON PROBATION. EFFECTIVE MARCH 9, 1980, HAMILTON COMPLETED HIS PROBATION AND WAS DISCHARGED FROM PROBATION AND ANY FURTHER OBLIGATION OF THIS MATTER PER DAVE HANSON, SUPERVISING U.S. PROBATION OFFICER, CHARLESTON, WEST VIRGINIA.



### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:** NEW ENGLAND SECURITIES

**Allegations:** CUSTOMER ALLEGED THE REPRESENTATIVE MISREPRESENTED THE VARIABLE LIFE INSURANCE POLICY PURCHASED IN OCTOBER 1995. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.

**Product Type:** Insurance

**Alleged Damages:** \$0.00

**Alleged Damages Amount Explanation (if amount not exact):** IT IS BELIEVED THE POTENTIAL DAMAGES WOULD EXCEED THE REPORTING THRESHOLD.

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** No

### Customer Complaint Information

**Date Complaint Received:** 08/19/2014

**Complaint Pending?** No

**Status:** Denied

**Status Date:** 09/05/2014

**Settlement Amount:**

#### Individual Contribution Amount:

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**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** NEW ENGLAND SECURITIES

**Allegations:** CUSTOMER ALLEGED THE REPRESENTATIVE MISREPRESENTED THE VARIABLE LIFE INSURANCE POLICY IN OCTOBER 1995. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.

**Product Type:** Insurance

**Alleged Damages:** \$0.00

**Alleged Damages Amount** IT IS BELIEVED THE POTENTIAL DAMAGES WOULD EXCEED THE



**Explanation (if amount not exact):** REPORTING THRESHOLD.

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** No

**Customer Complaint Information**

**Date Complaint Received:** 08/19/2014

**Complaint Pending?** No

**Status:** Denied

**Status Date:** 09/05/2014

**Settlement Amount:**

**Individual Contribution Amount:**



## End of Report

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