



IAPD Report

EDWARD SALVATORE REGISTRATO

CRD# 868454

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

EDWARD SALVATORE REGISTRATO (CRD# 868454)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/07/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	WESTMINSTER FINANCIAL ADVISORY CORP	CRD# 110283	09/04/2007
B	WESTMINSTER FINANCIAL SECURITIES, INC.	CRD# 20677	06/16/2015

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **35** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	AMERICAN NETWORK SECURITIES CORP.	13750	SUN CITY, AZ	08/15/1983 - 10/19/2015
B	WZW FINANCIAL SERVICES, INC.	5717	SUN CITY, AZ	07/06/1983 - 08/24/1983
B	CORNERSTONE FINANCIAL SERVICES, INC.	953	SUN CITY, AZ	05/26/1981 - 07/05/1983

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **35** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **WESTMINSTER FINANCIAL SECURITIES, INC.**

Main Address: 50 CHESTNUT STREET
SUITE A-200
BEAVERCREEK, OH 45440

Firm ID#: 20677

	Regulator	Registration	Status	Date
	FINRA	Financial and Operations Principal	Approved	06/16/2015
	FINRA	General Securities Principal	Approved	06/16/2015
	FINRA	General Securities Representative	Approved	06/16/2015
	FINRA	Investment Banking Representative	Approved	06/16/2015
	FINRA	Municipal Securities Principal	Approved	06/16/2015
	FINRA	Municipal Securities Representative	Approved	06/16/2015
	FINRA	Operations Professional	Approved	06/16/2015
	FINRA	Investment Banking Principal	Approved	10/01/2018
	Arizona	Agent	Approved	07/01/2015
	Arkansas	Agent	Approved	07/23/2015
	California	Agent	Approved	06/17/2015
	Colorado	Agent	Approved	07/07/2015
	Connecticut	Agent	Approved	06/01/2015



Qualifications

	Regulator	Registration	Status	Date
B	Delaware	Agent	Approved	06/22/2015
B	Florida	Agent	Approved	06/17/2015
B	Georgia	Agent	Approved	07/01/2015
B	Illinois	Agent	Approved	06/18/2015
B	Iowa	Agent	Approved	07/24/2023
B	Kansas	Agent	Approved	07/22/2015
B	Kentucky	Agent	Approved	01/05/2016
B	Maryland	Agent	Approved	06/19/2015
B	Massachusetts	Agent	Approved	07/02/2015
B	Michigan	Agent	Approved	07/20/2015
B	Minnesota	Agent	Approved	07/02/2015
B	Mississippi	Agent	Approved	01/24/2020
B	Missouri	Agent	Approved	07/22/2016
B	Nevada	Agent	Approved	11/10/2015
B	New Jersey	Agent	Approved	07/06/2015
B	New Mexico	Agent	Approved	07/02/2015
B	New York	Agent	Approved	08/18/2015
B	North Carolina	Agent	Approved	01/22/2020
B	North Dakota	Agent	Approved	02/01/2018



Qualifications

	Regulator	Registration	Status	Date
B	Ohio	Agent	Approved	01/08/2016
B	Oregon	Agent	Approved	07/24/2015
B	Pennsylvania	Agent	Approved	08/20/2015
B	South Carolina	Agent	Approved	08/20/2020
B	South Dakota	Agent	Approved	08/05/2016
B	Texas	Agent	Approved	06/17/2015
B	Utah	Agent	Approved	06/29/2015
B	Virginia	Agent	Approved	10/08/2025
B	Washington	Agent	Approved	07/01/2015
B	Wisconsin	Agent	Approved	07/02/2015
B	Wyoming	Agent	Approved	06/17/2015

Branch Office Locations

10433 W. Coggins Drive.
Sun City, AZ 85351

Employment 2 of 2

Firm Name: **WESTMINSTER FINANCIAL ADVISORY CORP**
Main Address: 50 CHESTNUT STREET
SUITE A-400
BEAVERCREEK, OH 45440
Firm ID#: 110283

	Regulator	Registration	Status	Date
IA	Arizona	Investment Adviser Representative	Approved	09/13/2007



Qualifications

	Regulator	Registration	Status	Date
IA	California	Investment Adviser Representative	Approved	01/21/2010
IA	Colorado	Investment Adviser Representative	Approved	10/21/2024
IA	Connecticut	Investment Adviser Representative	Approved	04/06/2017
IA	Florida	Investment Adviser Representative	Approved	12/19/2016
IA	Illinois	Investment Adviser Representative	Approved	02/23/2010
IA	Iowa	Investment Adviser Representative	Approved	02/22/2010
IA	Kansas	Investment Adviser Representative	Approved	12/04/2024
IA	Kentucky	Investment Adviser Representative	Approved	03/14/2023
IA	Michigan	Investment Adviser Representative	Approved	04/12/2010
IA	Minnesota	Investment Adviser Representative	Approved	07/04/2017
IA	Mississippi	Investment Adviser Representative	Approved	01/24/2020
IA	New Jersey	Investment Adviser Representative	Approved	01/28/2010
IA	New Mexico	Investment Adviser Representative	Approved	06/19/2008
IA	New York	Investment Adviser Representative	Approved	06/18/2021
IA	Ohio	Investment Adviser Representative	Approved	09/04/2007
IA	South Dakota	Investment Adviser Representative	Approved	08/09/2016
IA	Texas	Investment Adviser Representative	Restricted Approval	01/20/2010
IA	Utah	Investment Adviser Representative	Approved	04/10/2017



Qualifications

Regulator	Registration	Status	Date
IA Washington	Investment Adviser Representative	Approved	04/09/2020
IA Wyoming	Investment Adviser Representative	Approved	05/09/2025

Branch Office Locations

WESTMINSTER FINANCIAL ADVISORY CORP

10433 W. Coggins Drive
Sun City, AZ 85351






Qualifications

PASSED INDUSTRY EXAMS







This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 6 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

	Exam	Category	Date
	Financial and Operations Principal Examination (S27)	Series 27	08/20/1990
	Municipal Securities Principal Examination (S53)	Series 53	12/27/1983
	General Securities Principal Examination (S24)	Series 24	02/02/1983

General Industry/Product Exams

	Exam	Category	Date
	Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
	Investment Banking Registered Representative Examination (S79TO)	Series 79TO	01/02/2023
	Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	12/20/1980
	Registered Representative Examination (S1)	Series 1	07/03/1979

State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	09/17/2005
	 Uniform Securities Agent State Law Examination (S63)	Series 63	09/08/1979



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	08/15/1983 - 10/19/2015	AMERICAN NETWORK SECURITIES CORP.	CRD# 13750	SUN CITY, AZ
B	07/06/1983 - 08/24/1983	WZW FINANCIAL SERVICES, INC.	CRD# 5717	
B	05/26/1981 - 07/05/1983	CORNERSTONE FINANCIAL SERVICES, INC.	CRD# 953	
B	07/10/1979 - 06/12/1981	TRAVELERS EQUITIES SALES, INC.	CRD# 833	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/1983 - Present	AMERICAN NETWORK SECURITIES CORP.	OTHER - GP	Y	SUN CITY, AZ, United States
02/1978 - Present	AMERICAN NETWORK FINANCIAL SERV. CORP	OTHER - PRESIDENT/SALES	N	SUN CITY, AZ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1)REALTOR: COLDWELL BANKER15210 N. 99TH AVE SUN CITY AZ REFERRAL AGENT 3 HRS/MO START DATE 9/23/92;
2) INSURANCE AGENT: AMNET SEC COMPANY SINCE 7/25/77 2 HRS/MO; 3) TRUST COORDINATION: AMNET FSC SINCE 1976 15 HRS/MO; 4) TRUST ADMINISTRATION: AMNET FSC SINCE 1976 5 HR/MO



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: AMERICAN NETWORK SECURITIES

Allegations: CUSTOMER ALLEGED BY HIS REFERRAL TO A LICENSED TRUST COMPANY THAT MR. REGISTRATO 1) ACTED AS AN INVESTMENT ADVISERS WITHOUT REGISTRATION; 2) AIDED ENTERPRISE TRUST COMPANY IN PERPETRATING A FRAUD ON THE [CUSTOMER]; 3) WAS PART OF A SCHEME TO DEFRAUD THE [CUSTOMER]; AND, 4) WAS NEGLIGENT IN PROVIDING SERVICES AS A FINANCIAL PLANNER IN THAT HE DIDN'T DO A SUFFICIENT DUE DILIGENCE SEARCH.

Product Type: No Product

Alleged Damages: \$0.00

Alleged Damages Amount Explanation (if amount not exact): NO COMPENSATORY DAMAGE AMOUNT WAS SPECIFIED

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: 08-03769

Date Notice/Process Served: 11/01/2008

Arbitration Pending? No



Disposition:	Settled
Disposition Date:	02/24/2011
Monetary Compensation Amount:	\$300,000.00
Individual Contribution Amount:	\$0.00
Broker Statement	PURSUANT TO A SETTLEMENT AGREEMENT REACHED BETWEEN THE PARTIES DURING A MEDIATION, MR. REGISTRATO WAS DISMISSED FROM THE MATTER WITH PREJUDICE AND PAID THE [CUSTOMER] \$300,000.



End of Report

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