



IAPD Report

JIMMY RAY NASH

CRD# 871825

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JIMMY RAY NASH (CRD# 871825)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/02/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CREATIVEONE SECURITIES, LLC	CRD# 152974	10/29/2021
IA	CREATIVEONE SECURITIES, LLC	CRD# 152974	10/29/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	FIRST HEARTLAND CAPITAL, INC.	32460	BRANDON, MS	01/02/2020 - 10/29/2021
IA	FIRST HEARTLAND CONSULTANTS, INC.	110377	Brandon, MS	12/17/2019 - 10/29/2021
B	CETERA ADVISORS LLC	10299	BRANDON, MS	08/27/2018 - 12/26/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 4 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CREATIVEONE SECURITIES, LLC**
Main Address: 6330 SPRINT PARKWAY, SUITE 400
OVERLAND PARK, KS 66211
Firm ID#: 152974

	Regulator	Registration	Status	Date
B	FINRA	Direct Participation Programs	Approved	10/29/2021
B	FINRA	Invest. Co and Variable Contracts	Approved	10/29/2021
B	Alabama	Agent	Approved	11/04/2021
B	Louisiana	Agent	Approved	10/29/2021
B	Mississippi	Agent	Approved	10/29/2021
IA	Mississippi	Investment Adviser Representative	Approved	10/29/2021
B	Tennessee	Agent	Approved	01/02/2026

Branch Office Locations

CREATIVEONE SECURITIES, LLC
BRANDON, MS



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	01/02/2023
Direct Participation Programs Representative Examination (S22TO)	Series 22TO	01/02/2023
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Registered Representative Examination (S1)	Series 1	09/18/1979

State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	12/27/1999
Uniform Securities Agent State Law Examination (S63)	Series 63	06/08/1992

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities



Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/02/2020 - 10/29/2021	FIRST HEARTLAND CAPITAL, INC.	CRD# 32460	BRANDON, MS
IA	12/17/2019 - 10/29/2021	FIRST HEARTLAND CONSULTANTS, INC.	CRD# 110377	Brandon, MS
B	08/27/2018 - 12/26/2019	CETERA ADVISORS LLC	CRD# 10299	BRANDON, MS
IA	08/27/2018 - 12/26/2019	CETERA ADVISORS LLC	CRD# 10299	BRANDON, MS
IA	07/11/2007 - 08/30/2018	ONEAMERICA SECURITIES, INC.	CRD# 4173	BRANDON, MS
B	08/29/2005 - 08/30/2018	ONEAMERICA SECURITIES, INC.	CRD# 4173	BRANDON, MS
IA	06/14/2004 - 09/27/2005	CARILLON INVESTMENTS, INC	CRD# 14646	BRANDON, MS
B	05/27/2004 - 09/27/2005	CARILLON INVESTMENTS, INC.	CRD# 14646	CINCINNATI, OH
IA	01/31/2000 - 06/02/2004	MML INVESTORS SERVICES, INC.	CRD# 10409	BRANDON, MS
B	06/17/1998 - 06/02/2004	MML INVESTORS SERVICES, INC.	CRD# 10409	SPRINGFIELD, MA
B	05/08/1995 - 06/17/1998	GUARDIAN INVESTOR SERVICES CORPORATION	CRD# 6635	NEW YORK, NY
B	03/03/1993 - 06/14/1995	METLIFE SECURITIES INC.	CRD# 14251	SPRINGFIELD, MA
B	03/03/1993 - 06/14/1995	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	NEW YORK, NY
B	01/17/1992 - 02/19/1993	GUARDIAN INVESTOR SERVICES CORPORATION	CRD# 6635	NEW YORK, NY
B	01/08/1991 - 01/30/1992	KEOGLER, MORGAN & COMPANY, INC.	CRD# 16546	ATLANTA, GA
B	05/25/1989 - 01/10/1991	GUARDIAN INVESTOR SERVICES CORPORATION	CRD# 6635	NEW YORK, NY



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/15/1990 - 11/15/1990	MONY SECURITIES CORP.	CRD# 4386	NEW YORK, NY
B	09/26/1979 - 10/15/1990	THE MUTUAL LIFE INSURANCE COMPANY OF NEW YORK	CRD# 2873	
B	01/31/1984 - 05/22/1989	MML INVESTORS SERVICES, INC.	CRD# 10409	SPRINGFIELD, MA
B	01/20/1984 - 01/02/1988	MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY	CRD# 2682	
B	02/05/1981 - 07/02/1984	JOHN HANCOCK DISTRIBUTORS, INC.	CRD# 468	
B	02/05/1981 - 07/02/1984	JOHN HANCOCK MUTUAL LIFE INSURANCE COMPANY	CRD# 5181	
B	09/26/1979 - 01/19/1981	MONY SALES, INC.	CRD# 4386	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2022 - Present	CreativeOne Securities, LLC	REGISTERED REPRESENTATIVE	Y	Overland Park, KS, United States
10/2021 - 04/2022	CLIENT ONE SECURITIES, LLC	REGISTERED REPRESENTATIVE	Y	LEAWOOD, KS, United States
12/2019 - 10/2021	FIRST HEARTLAND CAPITAL INC	REGISTERED REPRESENTATIVE	Y	LAKE ST LOUIS, MO, United States
08/2018 - 12/2019	CETERA ADVISORS, LLC	REGISTERED REPRESENTATIVE/INVESTMENT ADVISER REPRESENTATIVE	Y	DENVER, CO, United States
08/2005 - 08/2018	AMERICAN UNITED LIFE	AGENT	Y	BRANDON, MS, United States
08/2005 - 08/2018	ONEAMERICA SECURITIES, INC	REGISTERED REPRESENTATIVE	Y	BRANDON, MS, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) JIM NASH; INVESTMENT-RELATED; 118 PARKSIDE DR, BRANDON, MS 39042; LIFE, DISABILITY, MEDICAL AND LONG-TERM CARE INSURANCE; OWNER/AGENT; BEGAN OCT 1981; APPROXIMATELY 150 HOURS PER MONTH, WITH 120 HOURS PER MONTH DURING SECURITIES TRADING HOURS; SALES AND SERVICE.

(2) BERRY NASH LLC; INVESTMENT-RELATED; 118 PARKSIDE DR, BRANDON MS 39042; FAMILY FARM; TAX PARTNER; BEGAN JAN 2016; APPROXIMATELY 15 HOURS PER MONTH, WITH 0 HOURS PER MONTH DURING SECURITIES TRADING HOURS; TAX REPORTS AND MANAGEMENT OF THE LLC.

(3) PLATINUM FUNDING GROUP; INVESTMENT-RELATED; 4750 SHERWOOD COMMON BLVD, STE 200, BATON ROUGE, LA 70816; LIFE INSURANCE SALES INCLUDING FINANCING OF PREMIUMS; REGIONAL MARKETING DIRECTOR; BEGAN OCT 2017; APPROXIMATELY 50 HOURS PER MONTH, WITH 35 HOURS PER MONTH DURING SECURITIES TRADING HOURS; SALES AND TRAINING OF SALES STAFF.

(4) RASBERRY PRODUCER GROUP; INVESTMENT-RELATED; 401 CENTRAL AVE, LAUREL, MS 39440; FIXED INSURANCE AND ANNUITIES; AGENT; BEGAN OCT 2021 APPROXIMATELY 100 HOURS PER MONTH, WITH 80 HOURS PER MONTH DURING SECURITIES TRADING HOURS; SALES.

(5) TOTAL ASSET PLANNING; INVESTMENT-RELATED; 118 PARKSIDE DRIVE BRANDON, MS 39042; DBA COMPLANY NAME USED SOLEY FOR MARKETING PURPOSES FOR FIXED AND VARIABLE LIFE AND INVESTMENT BUSINESS; OWNER; MANAGEMENT; 03/2023



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	MML INVESTORS SERVICES, INC.
Allegations:	COMPLAINANT ALLEGES THAT THE REGISTERED REPRESENTATIVE FAILED TO KNOW THE CUSTOMER AND HER RISK TOLERANCE, MAKING UNSUITABLE RECOMMENDATIONS.
Product Type:	Mutual Fund
Alleged Damages:	\$200,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	03/16/2009
Complaint Pending?	No
Status:	Evolved into Arbitration/CFTC reparation (the individual is a named party)
Status Date:	07/22/2009
Settlement Amount:	
Individual Contribution Amount:	



Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: 09-04160

Date Notice/Process Served: 07/22/2009

Arbitration Pending? No

Disposition: Settled

Disposition Date: 05/05/2010

Monetary Compensation Amount: \$12,500.00

Individual Contribution Amount: \$0.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MML

Allegations: Complaint alleges that the RR failed to know the customer and her risk tolerance, making unsuitable recommendations

Product Type: Mutual Fund

Alleged Damages: \$200,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 07/22/2009

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 07/22/2009

Settlement Amount: \$12,500.00

Individual Contribution Amount: \$0.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: 09-04160

Date Notice/Process Served: 07/22/2009

Arbitration Pending? No



Disposition:	Settled
Disposition Date:	08/05/2010
Monetary Compensation Amount:	\$12,500.00
Individual Contribution Amount:	\$0.00



End of Report

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